PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL
FORM

Application Number 10/737,389

Filing Date December 16,

First Named Inventor En-Yi Liao

Art Unit pot yet known

Total Number of Pages in This Submission 5 ** Attorney

Filing Date	December 16, 2003
First Named Inventor	En-Yi Liao
Art Unit	not yet known
Examiner Name	not yet known
Attamas Daglad Normhan	40022 000400

Total Number of Page	es in This Submission	5 ** A	Attorno	ey Docket Number	10033.000400		
ENCLOSURES (check all that apply)							
Fee Transmittal Form		Assignment Papers (for an Application) Drawing(s)			After Allowance Communication to Group		
☐ Fee Attached	i	Licensing-related Papers		d Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	ply	Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
☐ After Final		Petition to Provision			Proprietary Information		
☐ Affidavits/dec	claration(s)			ey, Revocation espondence Address	Status Letter		
Extension of Time Request		☐ Terminal Disclaimer		mer	Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund CD, Number of CD(s)		·	PTO Form SB-08a; Return receipt postcard		
☑ Information Disck	osure Statement						
Certified Copy of Priority Document(s)		Remarks ** does not include pages of listed references		ages of listed references			
Response to Missing Parts/ Incomplete Application							
Response to Parts under 3 1.52 or 1.53	Response to Missing Parts under 37 CFR						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Patrick D. Benedicto, Reg No. 40,909 or Individual name OKAMOTO & BENEDICTO LLP							
Signature Pauic Beau							
Date	March 30, 2004						
	CERTIFICATE OF MAILING						
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Patrick D. Benedicto

Signature Patrick D. Benedicto

Date March 30, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

En-Yi Liao

Serial No. 10/737,389

Filed:

December 16, 2003

Title: Technique for Intercepting Data in a

Peer-to-Peer Network

Examiner: not yet known

Art Unit: not yet known

Atty. Dkt. No.: 10033.000400

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the
references cited therein are hereby listed but are not required to be provided in
this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

\boxtimes	37 CFR §1.97(b).
	37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; Office in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFF § 1.56 (c) more than three months prior to the filing of this Statement.
	OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	37 CFR § 1.97(d). If so, then both: □ Applicant certifies pursuant to 37 CFR § 1.97(e) that either: □ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR □ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
	AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

En-Yi Liao

Dated: March 30, 2004

, Pariabedir

Patrick D. Benedicto Attorney For Applicant(s) Reg. No. 40,909 OKAMOTO & BENEDICTO LLP P.O. Box 641330 San Jose, CA 95164-1330

Tel.: (408) 436-2110 Fax: (408) 436-2114

CERTIFICATE OF MAILING						
deposited with the Un Commissioner for Pate Express Mail Mailing	ited States Pos nts, P.O. Box 1 Number is fille	dence, including the ental Service as first cl. 450, Alexandria, VA 223 and in below, then this cl. Mail Post Office to F	ass mail in an envel 313-1450 on the date correspondence is be	ope addressed to: shown below. If the ing deposited with the		
·	1000110			· .		
Typed or Printed Name:	Patrick D.	Benedicto	Dated:	March 30, 2004		
Express Mail Maili	ng Number			•		

PTO/SB/08a (08-03)

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stitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	10/737,389			
Filing Date	December 16, 2003			
First Named Inventor	En-Yi Liao			
Art Unit	not yet known			
Examiner Name	not yet known			
Attorney Docket Number	10033.000400			

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α ·	US- 5,444,850	Aug. 22, 1995	Chang	·
	В	US- 5,623,600	Apr. 22, 1997	Ji, et al.	
	С	US- 5,680,547	Oct. 21, 1997	Chang	
	D	US- 5,889,943	Mar. 30, 1999	Ji, et al.	
	Е	US- 5,951,698	Sept. 14, 1999	Chen, et al.	
	F	US- 5,960,170	Sept. 28, 1999	Chen, et al.	
	G	US- 5,983,348	Nov. 9, 1999	Ji	
	Н	US- 6,119,165	Sept. 12, 2000	Li, et al.	
	1	US- 6,272,641	Aug. 7, 2001	Ji	· · · · · · · · · · · · · · · · · · ·
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)				Т ⁶			
				<u>. </u>				
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Examiner Signature	Date Consider	ed .	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for deucing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.